

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	5071506
<b>Application Number:</b>	10701945
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	7123
<b>Title of Invention:</b>	Financial system that provides investment funds and a death benefit
<b>First Named Inventor/Applicant Name:</b>	James J. Mallozzi
<b>Customer Number:</b>	45722
<b>Filer:</b>	ROBERT EVAN ROSENTHAL/Ellen Draegert
<b>Filer Authorized By:</b>	ROBERT EVAN ROSENTHAL
<b>Attorney Docket Number:</b>	Hartford-13B
<b>Receipt Date:</b>	31-MAR-2009
<b>Filing Date:</b>	04-NOV-2003
<b>Time Stamp:</b>	17:28:04
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$940
RAM confirmation Number	2987
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### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part /.zip	Pages (if appl.)
1	Amendment After Final	331RESPWRCE.pdf	377789	no	14
			066e0ad4874efc54ecc858d6347811d23d648086		

### Warnings:

### Information:

2	Request for Continued Examination (RCE)	PTORCEform.pdf	697392 2bacd7c6132713396fe7311b93ee4bf2fb87d024	no	3
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### Warnings:

### Information:

3	Fee Worksheet (PTO-06)	fee-info.pdf	32331 bfe6547aedf86388ebd4adef3af0ce3df8de029	no	2
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### Warnings:

### Information:

Total Files Size (in bytes): 1107512

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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.